

TO A DATA NETWORK RELATED ARRANGEMENT (BIILATERAL)***Field of invention***

The present invention relates to a data network related arrangement and
5 more particularly to such an arrangement that provides an information-carrying
and information-transferring facility between connected units and/or stations.

The inventive arrangement includes a central unit and a number of two-way
information transfer units that can co-act with the central unit, said two-way units
being referred to as "slave units" in the following text and can be designated
10 "clients" in the case of certain transactions.

One information transfer mode initialised by the central unit, which in certain
transactions can be designated "session", in respect of one or more of the slave
units will normally be preceded by an authenticating handshake procedure
established therebetween.

15 An information transfer mode initialised by a selected slave unit in respect of
said central unit shall necessarily be preceded by an authenticating handshake
procedure established therebetween.

A document can be sent from a slave unit to the central unit and vice versa,
subsequent to having established an accepted information transfer via said
20 authenticating handshake procedure.

The present invention finds particular use with regard to exchanging
documents between one or more chosen slave units and the central unit, or vice
versa, where the document bears or can be provided with an electronic signature
applicable to said slave unit.

25 By "electronic signature" is meant in the following description that a person
authorised to sign a document signs electronically a transmitted electronic
document and returns the document duly signed.

The present invention is primarily based on the establishment of a bilateral
session established between two parties.

Description of the prior art

30 It is earlier known within a number of mutually different data network related
information-carrying and information-transferring systems to create an
arrangement that includes a central unit and a number of slave units, which can

each co-act with the central unit, via the transfer of information over the data network.

It is also known to allow such an arrangement to create conditions which enable the central unit to initiate an information transfer mode or session in respect of one or more of said slave units, and vice versa.

This information transfer can then be preceded by an authenticating handshake procedure established therebetween, so as to create thereby conditions, which enable document-related information to be transferred in an accepted and secure fashion.

With regard to significant features of the present invention, it can also be mentioned that there are also known to the art different systems and arrangements that allow electronic documents signed electronically by an authorised signatory of the company to be sent to a central unit, or to a receiving slave unit via said central unit, where a thus applied signature can be considered as an original signature by virtue of the authenticating handshake procedure.

With regard to embodiments and applications proposed in accordance with the present invention, it can be mentioned that there are known to the art different arrangements that enable economic transactions to be performed, by enabling one or more slave units or clients to be connected over an established data network with an economic transaction intermediary central unit, for example a banking system or the like.

In the case of this latter application, there are known to the art different processes for protecting the text of a document and to securely establish a session via an information- transfer between a client and a bank, such as to enable an economic transaction to be carried out without the client needing to be present physically in the bank premises.

Summary of the present invention

Technical problems

When taking into consideration the technical deliberations that a person skilled in this particular art must make in order to provide a solution to one or more technical problems that he/she encounters, it will be seen that on the one hand it is necessary initially to realise the measures and/or the sequence of measures that must be undertaken to this end, and on the other hand to realise which means

is/are required to solve one or more of said problems. On this basis, it will be evident that the technical problems listed below are highly relevant to the development of the present invention.

When considering the prior art as described above, it will be seen that a technical problem resides in the ability to create and to configure a data network related arrangement, where an electronic document can be transferred from one or more slave units to a central unit or vice versa, where said document requires an authorised signature from each of a number of authorised signatories each associated with his/her own part of an agreement, and where said document may also include one or more electronic signatures during the interchange phase between said two parties.

It will also be seen that a technical problem resides in creating a functional arrangement which will enable an agreement or contract to be signed or an electronic legal transaction or legal document to be signed electronically more efficiently, without requiring the persons involved to gather at one and the same place where they are or are able to identify themselves.

It will also be seen that a technical problem resides, to this end, in providing an arrangement which can be followed synchronously but which can function sequentially and asynchronously without requiring the parties involved in the agreement to gather together at the same time and in the same place.

Another technical problem resides in the ability to realise the significance of and the advantages afforded by using in this regard an electronic document that is provided with a text-protected agreement text and/or text-protected signature or signatures and the requirement of one or more necessary further signatures, and to send this document to the central unit from a first slave unit.

Another technical problem resides in the ability to realise the significance of and the advantages afforded by adapting the central unit to check the document automatically in respect of chosen and applicable respects.

Another technical problem resides in the ability to realise the significance of and the advantages afforded by allowing said check to include at least one of the following criteria: a check to ascertain that the text of the agreement document is protected; a check to ascertain that one or more of the accompanying signatures consists/consist of one or more requisite electronic signatures; and a check to

ascertain what other electronic signatures are required in order for the bilateral agreement to be valid and legally binding.

It will also be seen that a technical problem resides in the ability to realise the significance of and the advantages afforded by adapting said central unit to send
5 said received electronic document, bearing one or more electronic signatures, automatically to each of the remaining parties required to sign the document or the agreement electronically in order to make the agreement binding between two parties.

Another technical problem resides in the ability to realise the significance of
10 and the advantages afforded by allowing each of these remaining parties to sign the document electronically and then to return the document to the central unit, which then checks the contents of the document, such as the text of the agreement, so as to ascertain whether or not the text is still protected, and that the accompanying electronic signatures are authorised signatures.

15 Another technical problem resides in the ability to realise the significance of and the advantages afforded by causing the central unit to activate automatically available means for initialising and carrying out the conditions established and chosen in the document and/or the text of the agreement, when the document and/or the agreement has been signed by all authorised parties and duly checked.

20 Still a nother technical problem resides in the ability to create conditions in respect of the central unit such that it can be determined that the person or persons who has/have signed a document is/are truly authorised to sign the document in question.

In the case of an arrangement of the kind concerned here when such a
25 document shall be signed by a number of authorised persons, a technical problem resides in the ability to realise the significance of and the advantages afforded by creating conditions which enable the central unit to establish readily and automatically which persons are authorised and to allow each of said persons to send the document concerned electronically and thereafter obtain the document in
30 return signed by each of the authorised persons within a given time frame.

Another technical problem is one of being able to realise the significance of and the advantages afforded by allowing said document to contain or to have added thereto information concerning those persons who have the required

authority to sign the document and are in amicable accord with a document-carried and text-protected instruction or agreement sent to the central unit.

Another technical problem resides in the ability to realise the significance of and the advantages afforded by allowing the central unit to include first means for
5 checking whether or not authority-related information is correct via a register accessible to the central unit.

A further technical problem resides in the ability to realise the significance of and the advantages afforded by providing the central unit with second means which, when said information is correct, can be initialised and activated such as to
10 allow the central unit to carry out the measures stated in the incoming and duly signed document in accordance with instructions or agreements stated on the document.

The present invention finds particular application when the central unit consists of or is related to a banking institution or like operator and where said
15 instructions shall be related to an economic transaction and/or to another transaction which is of the utmost importance to the parties in general.

Another technical problem is allied with allowing said instruction to the central unit to be related to an agreement between two or more parties each having a slave unit, and where the central unit primarily serves as a mediator/data
20 exchange.

Another technical problem resides in the ability to realise the significance of and the advantages afforded by creating conditions that enable the central unit to include or to co-act with third means functioning automatically to copy said document and its protected agreement text with instructions or agreements and
25 one or more electronic signatures, and to send such a copy automatically to each person who shall sign the document concerned electronically in their authoritative capacity.

Another technical problem resides in the ability to realise the significance of and the advantages afforded by enabling the central unit to send automatically to
30 each person who shall sign the document electronically in their authoritative capacity in respect of a second party in the document and the agreement, when each person associated with a first party in his authoritative capacity has sent electronically to the central unit his copy of the document containing instructions or agreements and duly signed electronically.

A technical problem also resides in realising the significance of and the advantages afforded by allowing the central unit to include or to co-act with fourth means that functions to assist in carrying out necessary authority checks in an archive or filing department in which relevant powers of attorney are filed.

5 A technical problem also resides in the ability to realise the significance of and the advantages afforded by allowing said archive to include a number of organisation identities, such as organisation numbers, current e-mail addresses, legal domiciles, registered offices, seats, certificates relating to private keys, and the like.

10 Another technical problem resides in the ability to realise the significance of and the advantages afforded by allowing the central unit to co-act with or to include fifth means adapted for the registration of powers of attorney, where possibilities exist to change stored information, such as the duration of a power of attorney, activation of blocking functions and/or the insertion of new information,
15 where said new information can either be entered via the central unit or via a relevant slave unit.

Another technical problem resides in the ability to realise the significance of and the advantages associated with allowing such a power of attorney register to include a number of authority codes.

20 Another technical problem resides in the ability to realise the significance of allowing said authority codes to be structured to determine those conditions that are tied to a chosen document with a chosen instruction or a chosen agreement.

In addition, the present invention allows a first authority code to denote that the authority required is the signatures of the entire Board, that a second authority
25 code denotes that the authority required is two or more given persons in combination, a third authority code denotes that the authority required is the signature of the Managing Director (current matters, usual routines), a fourth authority code denotes that the authority required is a message to a member of the Board of Directors, such as the Chairman of the Board, and a fifth authority
30 code denotes that the authority required is a financial power of attorney.

Solution

The present invention thus relates to an earlier known data network related, information-carrying and information-transferring arrangement, which includes at

least one central unit and at least a number of slave units that can co-act with the central unit via information- transfer, and where an information-transfer mode initialised by the central unit in respect of one or more of said slave units can be preceded by an authenticating handshake procedure established therebetween, whereas an information transfer mode initialised by a chosen slave unit with respect to said central unit shall be preceded by an authenticating handshake procedure established therebetween, whereafter an electronic document can be sent from a chosen slave unit to the central unit, or vice versa.

With the intention of solving one or more of the aforesaid technical problems, it is proposed, in accordance with the invention, that an electronic document provided with protected text and at least one of a number of requisite electronic signatures can be sent to the central unit, said central unit being adapted to check the document automatically with regard to selected and applicable features.

The check shall involve at least one of the following criteria: a check to ascertain whether or not the text of the agreement document is protected; a check to ascertain whether or not an accompanying signature or signatures consists/consist of at least one of a number of requisite electronic signatures; and a check to ascertain which other electronic signatures are required in order for the agreement to be binding.

The central unit is also adapted to send said document automatically, bearing at least one electronic signature, to each of the remaining parties that need to sign the agreement text in order for the agreement to be binding.

Each of these remaining parties sign the document electronically and return it electronically to the central unit, which checks whether or not the text of the agreement document is still protected and that accompanying signature(s) is (are) the signatures of an authorised person(s).

When the agreement text has been duly signed by all authorised persons the central unit automatically activates means for initiating the conditions established and chosen in the text of the agreement.

By way of suggested embodiments, that lie within the scope of the present invention, it is proposed that the document shall contain or have attached (married) thereto information concerning the person or persons to which requisite authority has been assigned to endorse and electronically sign said document so

that said document will be legally binding, said document sent to the central unit carrying one or more instructions and/or one or more agreements.

In this regard, it is proposed that the central unit will include first means for checking in a register accessible to the central unit whether or not determined
5 and/or delivered authority-related information is correct or not.

If the information is incorrect, the slave unit concerned is informed to this effect.

If the information is correct, the central unit will cause the activation of second means, which can be initialised to allow the central unit to carry out the
10 measures given in the incoming document, in accordance with the instructions or agreements entered on the document.

By way of suggested embodiments, that lie within the scope of the inventive concept, it is proposed that said instruction to the central unit, a banking unit, telecom company or the like, may be related to an economic transaction.

15 According to the invention, the instruction to the central unit may be related to an agreement between two chosen slave units, where the central unit shall function as an intermediary.

It is also proposed that the central unit shall include or co-act with third means, which functions to allow the document with its instructions or agreements
20 to be copied on the one hand, and to allow such a copy to be sent to each person who shall electronically sign the document in his/her authoritative capacity on the other hand.

It is also proposed that when each of said persons has returned his/her copy of the duly, electronically signed document in his/her authoritative capacity, in a
25 reliable fashion, the central unit will function to send automatically a copy of the thus signed document to each person who shall electronically sign the document in his/her authoritative capacity with regard to a second party to the agreement.

It is also proposed, in accordance with the invention, that the central unit will include or co-act with fourth means for carrying out requisite authority checks in an
30 archive containing relevant powers of attorney.

The archive shall include a number of organisation identities, and it is proposed, in accordance with the invention, that these identities will include organisation numbers, current e-mail addresses, company seats, certificates concerning private keys, or the like.

The present invention also enables the central unit to co-act with or to include fifth means for registering powers of attorney, where the possibility exists of changing stored information, such as the duration of an appointment, activation of a blocking function and/or the addition of new information.

More particularly, it is proposed, in accordance with the invention, that a number of authority codes may be entered into the power of attorney register.

These authority codes shall be structured so that they can determine conditions that are tied to a chosen instruction and/or a chosen agreement.

In this regard, it is proposed in particular that;

- a first authority code shall denote that the document must be signed by the entire Board in order for the document to be valid;
- a second authority code shall denote that the document must be signed by two or more given persons in order for the document to be valid;
- a third authority code shall denote that the document shall be signed by the Managing Director (standard issues) in order for the document to be valid;
- a fourth authority code shall denote that the document must be signed by a member of the Board, such as the Chairman, in order for the document to be valid; and
- a fifth authority code shall denote that the required authority is a so-called financial power of attorney.

Advantages

Those advantages primarily significant to a data network related, information-carrying and information-transferring arrangement reside in the provision of conditions that enable a central unit to carry out instructions and/or agreements obtained through the medium of an electronic document compiled in one or more slave units, while retaining a secure authenticating handshake procedure, and where the central unit can readily check electronically which persons are authorised to sign the document concerned electronically, relating to a current document carrying instructions or agreements.

In accordance with the invention, the central unit is able to determine and/or to check whether or not determined and/or supplied authority information is correct or not, via an available register, wherewith the central unit is able to take the

responsibility of sending a document containing chosen instructions or agreements to each of the authorised persons, and also to receive from each of said authorised persons electronically applied signatures, all during the establishment of an authenticating handshake procedure.

5 This provides a functional arrangement, which enables agreement documents or other legal documents to be signed more efficiently without the persons concerned needing to gather in one and the same place where they are known or can identify themselves.

10 The invention provides a virtual gathering space and enables an asynchronous session to be carried out.

The primary characteristic features of an inventive data network related and information-carrying and information-transferring arrangement are set forth in the characterising clause of the accompanying Claim 1.

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Brief description of the drawings

20 A data network related, information-carrying and information-transferring arrangement, at present preferred and comprising a central unit and a number of slave units that are able to co-act with the central unit via information transmission, will now be described in more detail by way of example with reference to the accompanying drawings, in which;

25 **Figure 1** is a greatly simplified overview of the data network related arrangement that includes a central unit and a few slave units, which are able to co-act with the central unit;

Figure 2 is a block diagram illustrating different means and functions assigned to the central unit, which acts in an information transfer mode from and to a slave unit; and

30 **Figure 3** is a simplified function diagram illustrating the co-action between said central unit and a number of slave units in the interchange of a document.

DESCRIPTION OF EMBODIMENTS AT PRESENT PREFERRED

It is pointed out initially that we have chosen to use in the following description of embodiments at present preferred and including significant

characteristic features of the invention and illustrated in the figures of the accompanying drawings special terms and terminology with the intention of illustrating the inventive concept more clearly.

However, it will be noted that the expressions chosen here shall not be seen as limited solely to the chosen terms used in the description, but that each term chosen shall be interpreted as also including all technical equivalents that function in the same or at least essentially the same way so as to achieve the same or essentially the same intention and/or technical effect.

Figure 1 is a greatly simplified illustration of a data network related, information-carrying and information-transferring arrangement 1. The arrangement includes a central unit 10 and a number of slave units 11, 12, 13, 14, 15 and 16, which are able to co-act with the central unit.

The invention is primarily concerned with an application established between two parties, a first party "A" and a second party "B" in a bilateral session.

This information transfer can be achieved with the aid of each of said slave units, via an information-carrying and information-transferring system "I".

The data network system "Internet" may well be used in the arrangement illustrated in Figure 1, said "Internet"-system being referenced "I" in Figure 1.

The person skilled in this particular field will be well aware of the information-transfer sequences and information-transfer modes or sessions that can be established between the central unit and one or more of the slave units 11 to 16 and how similar information carrying connections can be achieved between one or more of the slave units 11 to 16 and the central unit 10.

It will also be obvious to the person skilled in this technical field that the illustrated central unit 10 may exist in a plurality of different units, this being illustrated schematically in Figure 1 by the reference sign 10'.

Correspondingly, a plurality of slave units, such as those referenced 11' and 14' can, of course, co-act with the central unit 10 or the central unit 10'.

It will also be obvious to one skilled in this particular art that rules and protocol are required for the signal transmission of an electronic document containing one or more instructions and/or one or more agreement clauses. However, for the sake of clarity, such rules and protocols have not been described in this document.

It is mentioned, however, that at least when a document related to an economic arrangement and transactions shall be sent by the central unit, say the central unit 10, the unit is required to initiate an information transfer mode or session to one or more relevant slave units or clients and that this is preceded by a handshake procedure.

A number of such handshake procedures are known to the art and will not therefore be described in detail.

More specifically, each information transfer mode shall be preceded by an authenticating handshake procedure established between relevant parties.

An information transfer mode initialised in respect of the central unit 10 by a chosen slave unit, such as the slave unit 11, shall be preceded by an authenticating handshake procedure established therebetween.

An electronic "document" can then be sent from the slave unit 11 to the central unit 10.

A "document" according to the present invention may conveniently consist of one or more instructions sent from a slave unit 11 to a central unit 10 to enable the central unit 10 to execute the given instructions and report back the measures carried out.

A "document" according to the present invention may also consist of an agreement that requires a commitment between two or more slave units belonging to a first party "A", such as slave units 11 and 15, wherein the central unit 10 shall be included as an intermediary and/or as a guarantor in respect of an economic transaction.

A number of slave units, such as slave units 11, 15, may be associated with a first party "A" and a second number of slave units 13, 16 may be associated with a second party "B" in respect of an agreement.

Figure 1 illustrates an information transfer mode, referenced M10, 11, between the central unit 10 and the slave unit 11, and an information transfer mode, referenced M11, 10, between the slave unit 11 and the central unit 10.

Also shown is an information transfer mode, referenced M10, 15, between the central unit 10 and the slave unit 15, and an information transfer mode, referenced M15, 10, from the slave unit 15 to the central unit 10.

As will be understood, each information transfer mode that shall be established between the central unit 10 and one or more slave units 13, 16, and vice versa, can be identified by reference signs based on the aforescribed structure.

5 The features of the invention will now be described in more detail with reference to an electronic "document", with which two authorised electronic signatures are required in order for the document to be legally accepted, wherewith the signed document is sent from the slave unit 11 to the central unit 10.

10 The document "D" may contain, *inter alia*, instructions to the central unit 10 that require said unit to effect a "share purchase" and also to bill the account belonging to the slave unit 11.

In order for the unit 10 to be able to fulfil these instructions, it is assumed that the unit 10 will require two authoritative electronic signatures from two different
15 persons of which each has a slave unit.

Should electronic signatures be required from more than two different persons, the procedure associated with these conditions will be obvious.

It is assumed that the document "D", shown in Figure 2, includes an agreement text "d1" and a single electronic signature "d2", when received by the
20 unit 10 from the slave unit 11.

The unit 10 checks, *inter alia*, the electronic signature "d2" and when it is found to be correct causes the document to be copied together with the signature and sends the document to a second slave unit 15 for an electronic signature "d3" from an authorised person, whose signature is missing from the document,
25 whereafter the electronically signed document (D') (d1, d2, d3) is returned to the central unit 10 (M15, 10), which then carries out the instructions and finalises the commission, subsequent to having made an automatic check.

Figure 2 is a principle block diagram illustrating the central unit 10.

30 An information transfer mode or session, referenced M10, 11 (M10, 15) initialised by the central unit 10 in respect of one or more of said slave units, such as the unit 11 (15) may be preceded by an authenticating handshake procedure established therebetween, illustrated as a function block 21 in Figure 2.

An information transfer mode or session initialised by a chosen slave unit 11 (15) in respect of said central unit 10, referenced M11, 10 (M15, 10) shall be

preceded by an authenticating handshake procedure established therebetween, this procedure being illustrated as a function block 21a.

Corresponding functions in the slave unit 11 (15), now shown in Figure 2 with reference numerals or signs 21, 21a, have not been drawn or shown in the drawing.

A document "D" including instructions in accordance with the above is now sent from the slave unit 11 to the central unit 10 and received in a receiving circuit 22a and linked therefrom to a central processor unit 23.

The central unit 10 co-acts with first means 101, with the aid of the central processor 23, to determine and/or to check in a register available to the central unit whether or not the determined and/or stated authority information is correct.

In this regard, it is conceivable that the document "D" will carry information "d6" concerning those persons that are authorised to sign the document, this check being carried out in the unit 10 and in the means 101.

It is also conceivable that the document "D" will include an extract from a current registration document.

It is also conceivable to store in the means 101 a list of persons that are authorised to sign on behalf of the company with respect to specific assignments or commissions.

It is assumed that the check carried out in means 101 is positive, wherewith the central processor 23 is caused to co-act with a second means 102, so as to allow the central unit 10 to carry out the steps and measures given on the incoming document (D') in accordance with stated instructions or agreements.

The document "D" can be sent to a slave unit 15 for signing, and returned as a document (D') to the central unit 10.

The measures taken can be initialised with the aid of a circuit 24.

The document "D" will preferably include or have added thereto one or more pieces of information concerning those persons who have the requisite authority to endorse the instructions or the agreements stated on the document sent to the central unit 10.

Thus, in the case of this application, the document "D" includes protected agreement text and/or instructions "d1" and places "d2", "d3" and "d4" where the document shall be signed electronically by authorised persons.

The document "D" thus includes information concerning those persons that are authorised to sign the document that bears the instructions or the agreement "d1". Alternatively, there can be added to the document "d1" a further document "d", which bears information relating to those persons that have the necessary authority to sign the document bearing said instructions or agreements. By way of a further alternative, this information may be found stored in the central unit 10.

In this particular case, the central unit 10 may comprise a bank or some other economic, financial institution and the instructions "d1" sent to the central unit 10 may be related solely to an economic transaction.

The document "D", sent to the central unit 10 and bearing said instructions, is primarily related to the central unit.

This central unit 10 shall thus carry out the commissions stated on the document, such as carry out the economic transaction, and therewith ensure that one account number is billed while another account number is credited a corresponding sum, wherewith the central unit 10 charges a fee for carrying out the economic transaction.

In this particular case, the instruction applies to a share purchase or to some similar purchase, and it is assumed that one (or two) further person (persons) is (are) required to sign the document "D".

It is assumed that the person sending the document "D" to the central unit 10 is authorised and that he/she can sign his/her name electronically at "d2".

The unit 10 copies the document "D" signed at "d2", and sends the copy electronically to the e-mail address applicable to the person who shall sign the document electronically at "d3".

If the document requires the signature of another person at "d4", the document is sent to this person via the output circuit 24.

The commission can be carried out and finalised immediately the central unit 10 receives the document duly signed at "d2" and at "d3".

The instruction to the central unit 10 can also be related to an "agreement" between two parties "A" and "B", where one party "A" is assigned the slave units 11, 15 and the second party "B" is assigned the slave units 13, 16.

In such an embodiment in which an agreement shall be valid between two groups of slave units 11, 15 and 13, 16 respectively, and in which the central unit

10 shall function as an active or inactive intermediary, there is required in the central unit 10 a function system in accordance with the following.

The document "D" passes to the central process 23 in the same way as that earlier described.

5 The central processor 23 now co-acts with a third means 103, which is instrumental in copying the document "D" and its associated agreements.

10 The central processor 23 can now create conditions which enable such a copy D' to be sent electronically to each person who is authorised to sign the document electronically on behalf of his/her respective party "A" and "B", wherewith each of said persons returns the document to the unit 10 within a given time period and the unit 10 carries out the commission subsequent to checks having been carried out.

15 In the case of the Figure 2 embodiment, a copy (D) (d1, d2) is sent to the slave unit 15 for signing and its return to the unit 10, with the aid of an authenticating handshake procedure, wherewith the unit 10 copies the document (D') (d1, d2, d3) received and sends said document to the slave unit 13 and/or 16.

20 It is thus assumed that the document "D" sent to the central processor 23 has been signed by an authorised person at "d2" on behalf of a first party, and that the document requires a further electronic signature "d3" from the first party "A", where it is assumed that the signature "d3" shall be obtained from the slave unit 15, whereas an electronic signature "d4" required from the second party "B" shall be obtained via the slave unit 13 and/or 16.

25 The central processor 23 deals with copying of the document "D" that has first been sent from the slave unit 11 to the unit 10, and sends a copy to the slave unit 15.

When the slave unit 15 has electronically signed the document, the document is returned to the central processor 23, which, in a further sequence, sends the document (D') bearing the signatures of the two authorised persons "d2" and "d3" respectively to the second party "B" for signing at "d4".

30 When each responsible person of the first party "A" has duly signed the document carrying said instructions or agreements and has sent the document to the central unit 10, including the central processor 23, the central unit 10 thus sends a copy of the thus completed, signed document bearing said instructions or agreements through the medium of the central processor to each one of the

second party "B" required to sign the document in their capacity of an authorised signatory, said copies being sent to each person simultaneously or in succession.

The central unit 10 that includes the central processor 23 also includes fourth means 104 functioning to carry out requisite authority checks.

5 This fourth means has the form of an archive 104, which is able to include a number of different organisation identities, such as organisation numbers, current e-mail addresses, company seats, certificates relating to private keys required to sign presented documents electronically.

10 The central unit 10, which includes the central processor 23, co-acts with or includes fifth means 105 for registering powers of attorney, where it is possible to alter stored information, such as the duration of a term of appointment, the activation of blocking functions and/or the insertion of new data, as illustrated with an arrow in Figure 2.

A number of authority codes are included in the register 105.

15 These authority codes are structured so that conditions tied to a chosen instruction or to a chosen agreement based on a received document can be determined.

In this regard, it is proposed;

- that a first authority code denotes that the required authority consists in the entire Board signing the document "D" electronically;
- that a second authority code denotes that the required authority consists in the joint signatures of two or more given persons;
- that a third authority code denotes that the required authority exists solely in the signature of the Managing Director (routine business matters);
- 25 - that a fourth authority code denotes that the required authority exists in a message being sent to a board member, such as a document sent to the Chairman of the Board electronically; and
- a fifth authority code requires the presentation of a so-called financial power of attorney.

30 More generally, the setup and the use of the arrangement can be generally described as allowing a person represented by the slave unit 11 to open a case with the aid of his/her PIN code.

The case obtains the form of a document "D" and is allotted an identity.

The text "d1" is then entered into the document "D", i.e. said information or said agreement terms can be entered in the document.

Such a text can be protected against alterations (or text protected) with the aid of an electronic seal.

5 Those persons required to sign the document (d1, d2, d3, (d4) respectively) in order for the document to be valid and legally binding are then noted, and a critical time frame, such as a date, within which the document shall be signed by all relevant persons may be included.

10 The central unit 10 and the central unit processor 23 shall ensure that the "signature" and/ or "signatures" from persons represented by relevant slave units 11, 15, 13, 16 are in place, and that all other persons who shall sign the document electronically have also signed said document and returned it to the central unit 10.

15 The present invention provides the possibility of liberating the signatories concerned from time and space (room) in respect of signing the document, since said parties are allowed to sign the document electronically in virtual space.

20 It will thus be obvious that the persons represented by said slave units 11, 15; 13, 16 can take part in agreement processes and sign and determine the validity of the document electronically, regardless of the geographical positions of individual slave units.

The process is able to follow the electronic signatures of the remaining authorised persons synchronously during the actual agreement process, via the central unit 10 and primarily the central processor 23, wherewith the agreement process *per se* can be completed sequentially and asynchronously.

25 Any updating of the power of attorney register and any power of attorney archive is effected in real time.

30 The invention also offers an advanced checking pattern for distribution of the cases in different stages, wherewith termination between the parties, i.e. between selected slave units and the central unit respectively, can be effected via open networks or closed networks, via encryption in the most suitable case.

Figure 3 is a simplified schematic illustration of a function schedule for co-action between the central unit 10 and a number of slave units 11, 15, when exchanging a document within a first party "A".

More particularly, a block 31 indicates the presence of a company certificate, and a block 32 indicates the presence of a private key.

Reference numeral 33 is intended to illustrate the structure of a compiled document "D" that includes agreement text (d1) and a signature (d2), the text of said agreement being protected via a block 33a.

Block 33b is intended to show that other information, such as information intended directly for the central unit 34 (10), can be entered in the document "D".

Such information may include a list of persons who shall sign the document, together with their electronic addresses; any symmetry in the sequence in which the document shall be signed; a time schedule with respect to signing of the document.

The central unit 34 co-acts with a block 34a representing current "business rules" for current businesses, assignments or commissions.

Block 34b represents checking surfaces adapted, *inter alia*, to check the receipt of company certificates 31, used private keys 32, relevant text protection 33a, public keys, time schedules, etc.

Block 34c represents an authority register, which either checks that information given on the document "D" is correct or that the document is signed by authorised signatories, in accordance with the structure of the document.

Block 34d represents a sequence of authorised signatories and/or a list of the signatories required in accordance with the measures concerned.

When the check has been carried out and the correct authority has been chosen, the document "D" bearing the signature "d2" and produced via the blocks 31, 32 is copied and sent to the slave unit 15 and the person concerned electronically, represented by the block 33'.

This person signs the document via the company certificate 31' and his/her private key 32'.

The signed document (D') is returned to the central unit 34, which checks the document in control circuits 34b' at least with regard to;

- a. the correct authority with regard to all persons that have signed the document;
- b. the correct sequence when this criterion is required;
- c. the correct electronic signature/signatures;
- d. the correct time schedule; and

- e. the correct text protection and a check to ensure that this protection has not been broken.

When these criteria have been fulfilled, the central unit 34 automatically initialises said means 24 so as to allow finalisation of the text decided and chosen
5 in the agreement

It will be understood that the invention is not restricted to the aforescribed and illustrated exemplifying embodiment thereof, and that modifications can be made within the scope of the inventive concept as illustrated in the accompanying Claims.

CLAIMS

1. A data network related information-carrying and information-transferring
5 arrangement, comprising a central unit (10) and a number of slave units (11, 15)
that can co-act with the central unit (10) via information transmission, wherein an
information transfer mode or session initialised by the central unit in respect of one
or more of said slave units can be preceded by an authenticating handshake
10 procedure established therebetween, wherein an information transfer mode or
session initialised by a chosen slave unit (11) in respect of said central unit (10)
shall be preceded by an authenticating handshake procedure established
therebetween, whereafter an electronic document ("D") can be sent from a chosen
slave unit (11) to the central unit (10), **characterised** in that the electronic
15 document (D) provided with protected text (d1) and bearing at least one signature
(d2) of a number of requisite signatures can be sent to the central unit (10),
wherein the central unit is adapted to automatically check the document (D) in
respect of chosen features; wherein said check comprises at least a check to
ascertain that the text of the document is protected, a check to ascertain that the
20 electronic signature accompanying the document consists of one of several
requisite electronic signatures and a check to ascertain which other electronic
signatures are required in order for the agreement to be valid; in that said central
unit (10) is adapted to send said document (D) bearing said electronic signature
automatically to each of the remaining entities required to sign the agreement text
in order for the agreement to be binding; in that each of said entities signs the
25 document electronically and returns said document (D') to the central unit, which
checks that the text of the document is protected and that the accompanying
signatures have been made by authorised persons; and in that, when the text has
been signed by all authorised entities, the central unit (10) automatically activates
means (24) for initiating conditions set and chosen in the text.

30
2. An arrangement according to Claim 1, **characterised** in that the document
contains or has appended thereto information relating to the person or persons
that has/have been given the necessary authority to endorse and electronically
sign said document, and a requisite sequential order.

3. An arrangement according to Claim 1 or 2, **characterised** in that said document contains one or more instructions and/or one or more agreements.

4. An arrangement according to Claim 1, **characterised** in that the central unit includes first means for establishing and/or checking whether or not the authority-related information, with the aid of a register accessible to the central unit to this end, wherein if the authority-related information is correct, the central unit (10) uses second means that can be activated to enable the central unit to carry out the measures given in the incoming document, in accordance with the instructions or agreements given in said document.

5. An arrangement according to Claim 1, **characterised** in that the document has appended thereto information relating to those persons that have the necessary authority to sign the document, and the electronic address of said persons.

6. An arrangement according to Claim 1, **characterised** in that said instructions sent to the central unit, a banking institution or the like, relate to an economic transaction.

7. An arrangement according to Claim 1, **characterised** in that in that the instructions sent to the central unit are related to an agreement between two or more slave units.

8. An arrangement according to Claim 7, **characterised** in that the central unit includes or co-acts with third means functioning to allow said document and/or said instructions or agreements to be copied and to send to each person or entity that shall sign the document with its instructions or agreements electronically in his/her capacity of an authorised person.

9. An arrangement according to Claim 8, **characterised** in that when each person sends his/her copy of the document bearing instructions or agreements and duly signed electronically by said person in his/her capacity of a person authorised in respect of a first party (A), the central unit is allowed to send a copy

of the thus signed document bearing said instructions or agreements to each authorised person of a second party for signing of the document bearing said instructions or agreements electronically.

5 10. An arrangement according to Claim 1, **characterised** in that the central unit includes or co-acts with fourth means for carrying out requisite authority checks in an archive or the like, in which relevant powers of attorney are kept.

10 11. An arrangement according to Claim 10, **characterised** in that said archive includes a number of organisation identities, such as organisation numbers, current e-mail addresses, company seats, certificates relating to private keys.

15 12. An arrangement according to Claim 1, **characterised** in that the central unit co-acts with or includes fifth means for the registration of powers of attorney, wherein the arrangement includes the possibility of altering information stored in said register, such as the duration of an appointment, the activation of a blocking function, and/or the insertion of new information.

20 13. An arrangement according to Claim 12, **characterised** in that said register includes a number of authority codes.

25 14. An arrangement according to Claim 13, **characterised** in that said authority codes are structured to enable the determination of conditions that are tied to a chosen instruction or to a chosen agreement.

30 15. An arrangement according to Claim 13, **characterised** in that a first authority code denotes that the authority required exists in the signature of every member of the Board; in that a second authority code denotes that the authority required resides in the joint signing of two or more given persons; in that a third authority code denotes that the authority required resides solely in the signature of the Managing Director (routine business matters); in that a fourth authority code denotes that the authority required resides in a message sent to a board member, such as the Chairman of the Board; and in that a fifth authority code denotes that the authority required is a so-called financial power of attorney.

ABSTRACT

The present invention concerns a data network related information-carrying
5 and information-transferring arrangement that includes a central unit (10) and a
number of slave units (11, 15), which co-act with the central unit (10) via
information transmission (1), wherewith a document (D) can be sent to the central
unit (10) from a chosen slave unit (11). The electronic document (D) includes
protected text (d1) and at least one (d2) signature of a number of requisite
10 signatures and can be sent to the central unit (10), wherein the central unit is
adapted to check the document (D) automatically with regard to chosen aspects of
the document, whereafter the central unit sends the document (D) bearing the
electronic signature automatically to each of the remaining (15) parties that are
required to sign the agreement document in order for the agreement to be binding.
15 Each of these parties sign the document (D) electronically and return it to the
central unit (10), which thereafter initiates activation of the agreed measures via
available means (24).

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Fig. 1

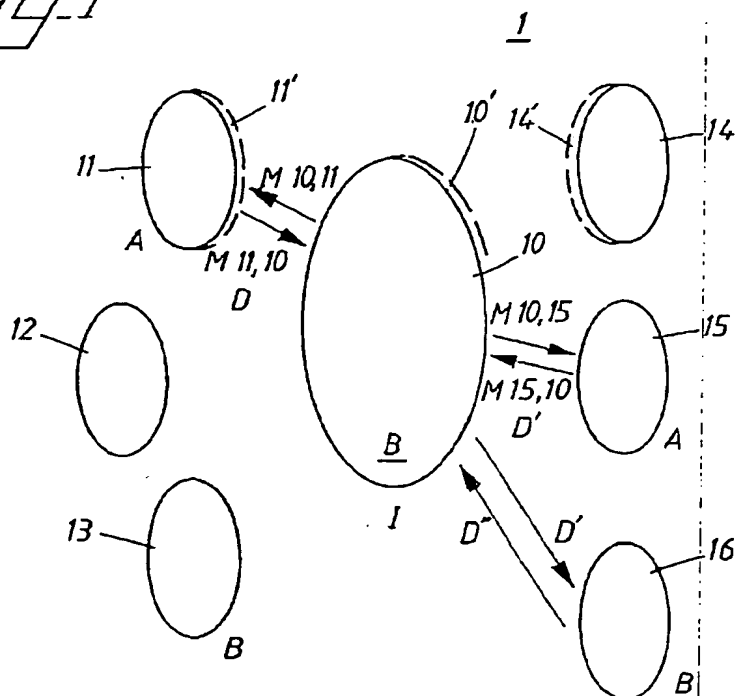
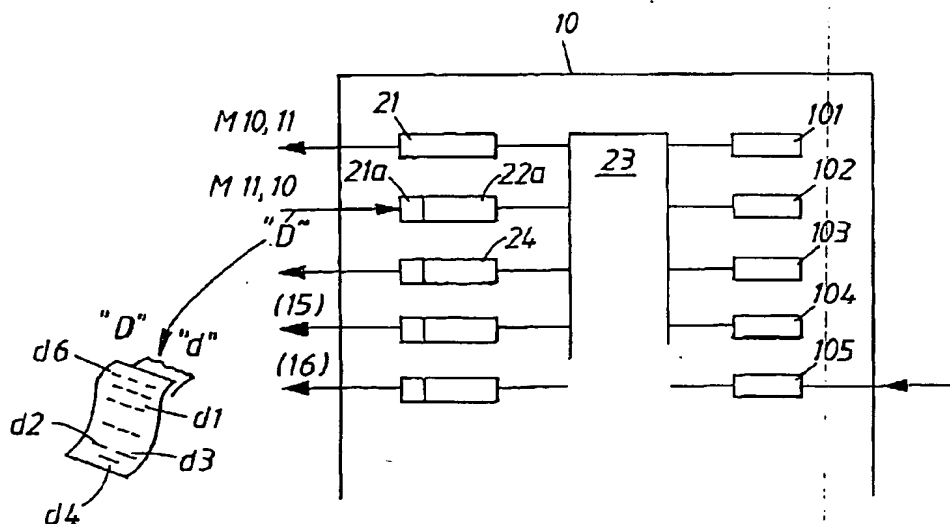


Fig. 2



2 / 2

Fig. 3

